

TD Waterhouse CFDs/TD Waterhouse Financial Spread Betting Individual Account Application Form



Please complete ALL Sections in full using BLOCK CAPITALS. Failure to do so could delay the opening of your trading account. Please call 0845 601 6208 should you have any questions

1 – Account Type

CFD Financial Spread Betting

Are you applying for an individual or joint account? Individual Joint

If you are applying for a joint account, please ensure that the Joint Account Supplementary Form is completed by the second applicant.

2 – Personal Details

Title Mr Mrs Miss Ms Dr

Other please specify

First Name

Middle Name

Surname

Date of Birth

Nationality

Current Address Details

Address Line 1

Address Line 2

Address Line 3

Town

County

Postcode

Country

Occupancy Owner Rented Living with Parents

Time at current address Years Months

If you have been at the above address less than 3 years, please give your previous address.

Previous Address

Address Line 1

Address Line 2

Address Line 3

Town

County

Postcode

Country

Time at previous address Years Months

3 – Contact Details Preferred contact number (please tick appropriate box)

Home Tel No

Business Tel No

Mobile No

Email Address

4 – Employment Details

Employment Status Employed Self Employed

Retired Student Not Working

Time with employer Years Months

Employer / Business Name

Nature of Business

Position Held

Address Line 1

Address Line 2

Address Line 3

Town

County

Postcode

Country

Do you work for a firm or a branch regulated by the FSA or by an overseas regulator? Yes No

If you are required to provide copy contract notes to your employer, would you like us to send them directly? Yes No

If yes, please provide an appropriate email address below

Account Number of existing TD Waterhouse account(s)

Account 1

Account 2

5 – Financial Information

If you are applying in joint names, please provide combined information for both of you in the boxes below.

Annual Basic Salary/Regular Income

Approximate Homeowner Equity (Value of house minus any mortgage)

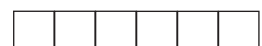
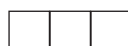
Approximate Savings/Investments (excluding Pensions, loans, overdrafts and outstanding credit debt)

6 – Bank Details

Name of Bank/Building Society

Account Number

Sort Code - -



7 – Trading Experience

(Excluding trades for which you received financial advice)

How many times have you traded shares in the past 12 months?

5 or less 6-20 21-50 51+

How many times have you traded on margin in CFDs, Spread Bets, Futures or FX in the past 12 months?

5 or less 6-20 21-50 51+

How many years experience do you have trading shares?

0 1-4 5-9 10+

How many years experience do you have trading on margin in CFDs, Spread Bets, Futures or FX?

0 1-4 5-9 10+

Have you traded derivatives on margin for your employer(s) in a professional capacity in the past 5 years?

Yes No

8 – Marketing

How did you hear about us

Promotion Code

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9 – Declaration

It is important that before you apply to open an account you read and understand (a) the General Terms, the Margining Terms, the Spread Betting Terms or CFD Terms (as applicable) and the Spread Betting or CFD Market Information Sheets (as applicable) (collectively "the Terms"), (b) the Risk Warning Notice detailed in Section 2 of the Terms of Service and (c) our Trade and Order Execution Policy. Only sign this agreement if you wish to be bound by the Terms. TD Waterhouse Investor Services (Europe) Limited (a subsidiary of The Toronto-Dominion Bank) (the "Introducer") has introduced you to TD Waterhouse CFDs/ TD Waterhouse Financial Spread Betting, which is a trading name of City Index Limited, (the "Company"). The provider of this agreement and the services is the Company. If there is anything you do not understand or do not wish to agree to, please call our customer services team on 0845 601 6208.

In completing and returning this application you confirm that you:

- consent to the Trade and Order Execution Policy;
- are over 18 and that the information provided in this application is correct;
- agree to the Terms in relation to use of your personal information that you provide to the Company. You understand that the Introducer and the Company will obtain, process and disclose personal and financial information relating to you as detailed in clause 24 of the General Terms and these Declarations;
- (if you are applying for a joint account), wish to open a joint account with the person(s) who sign(s) the joint account supplementary form in relation to this application;
- understand the main risks of trading in these instruments, as set out in the Risk Warning Notice detailed in Section 2 of the Terms of Service; and
- have regular access to the internet and consent to us providing you with information, including, without limitation, information about amendments to our Trade and Order Execution Policy, information about the nature and risks of investments, and information on our conflicts of interest policy by posting such information on tdwaterhousecfds.co.uk.

If you are not a UK citizen or are non-UK resident (or if you trade from outside the UK) you may also be subject to laws other than English law (including other tax laws), and this may impact our ability to do business with you. The Company does not provide legal or tax advice. If you have any doubt about your circumstances, please seek independent legal or tax advice.

The Company may use your personal information, for example, for responding to credit reference enquiries, searching the electoral register and making enquiries of credit reference and other agencies who may retain a record of the enquiry and information given to them and who may also use your information in the future to assist other organisations for crime prevention and credit purposes.

To comply with the current money laundering regulations, the Company is required to undertake checks on your identity and residential address, including accessing and using information held in any database or other electronic format. The Company may request further supporting documents from you to confirm these details. Such requests could delay the processing of this application.

Data Protection – The Company

The Company will add your details (including email details) to its database and will occasionally send you newsletters and other information about its products/services by various forms of communications, including electronically or by posting on our website at tdwaterhousecfds.co.uk, the Company may also pass your details to its associated companies who may also store and use your information in this way. By signing this form you consent to use of Your Information in this way unless you indicate to us that you would prefer not to receive such material by sending an email to: support@tdwaterhousecfds.co.uk or ticking this box.

Data Protection – Introducer

The Introducer and other members of its group of companies will use your information to inform you by letter, telephone (including sending text messages), or computer (including email) about products and services (including those of others) which may be of interest to you. By signing this form you consent to the use of your information in this way unless you tell the Introducer that you do not wish Your Information to be used in this way; you can do so by contacting: Data Protection Controller, TD Waterhouse Investor Services (Europe) LTD., Exchange Court, Duncombe Street, Leeds, LS1 4AX.

For further information please refer to the Data Protection Information detailed in Section 24 of the General Terms of Service.

TD Waterhouse CFDs and TD Waterhouse Financial Spreading Betting are trading names of City Index Ltd ("CI"), Moorgate Hall, 155 Moorgate, London EC2M 6XB which is authorised and regulated by the Financial Services Authority (FSA registered number 113942) and registered in England under No. 1761813. For the purposes of Margined Trading the contract is between you and CI and your Account is with CI. Telephone: 0845 601 6208 www.tdwaterhousecfds.co.uk. VAT No: 524837435.

For the purposes of CFD Trading, TD Waterhouse Investor Services (Europe) Limited will introduce you to TD Waterhouse CFDs. For the purposes of Financial Spread Betting, TD Waterhouse Investor Services (Europe) Limited will introduce you to TD Waterhouse Financial Spread Betting. TD Waterhouse Investor Services (Europe) Limited (a subsidiary of The Toronto-Dominion Bank) authorised and regulated by the Financial Services Authority (FSA), 25 The North Colonnade, Canary Wharf, London E14 5HS (FSA registered number 141282) and is a member of the London Stock Exchange and the PLUS market. TD Waterhouse Investor Services (Europe) Limited is registered in England under No. 2101863. The registered office is Exchange Court, Duncombe Street, Leeds LS1 4AX. Telephone: 0845 607 6001 www.tdwaterhouse.co.uk.

Any references to "we" "us" and "our" in this application form refers to City Index Limited (no. 1761813)

10 – Signature

Signature

Date

D	D	M	M	2	0	Y	Y
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What to do next

Please check the details you have provided, ensure they are correct and that the application form is signed. Then send your completed application TD Waterhouse CFDs / TD Waterhouse Financial Spread Betting, Moorgate Hall, 155 Moorgate, London EC2M 6XB.

4 – Trading Experience

(Excluding trades for which you received financial advice)

How many times have you traded shares in the past 12 months?

5 or less 6-20 21-50 51+

How many times have you traded on margin in CFDs, Spread Bets, Futures or FX in the past 12 months?

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Have you traded derivatives on margin for your employer(s) in a professional capacity in the past 5 years?

Yes No

5 – Declaration

It is important that before you apply to open an account you read and understand (a) the General Terms, the Margining Terms, the Spread Betting Terms or CFD Terms (as applicable) and the Spread Betting or CFD Market Information Sheets (as applicable) (collectively "the Terms"), (b) the Risk Warning Notice detailed in Section 2 of the Terms of Service and (c) our Trade and Order Execution Policy. Only sign this agreement if you wish to be bound by the Terms. TD Waterhouse Investor Services (Europe) Limited (a subsidiary of The Toronto-Dominion Bank) (the "Introducer") has introduced you to TD Waterhouse CFDs/ TD Waterhouse Financial Spread Betting, which is a trading name of City Index Limited, (the "Company"). The provider of this agreement and the services is the Company. If there is anything you do not understand or do not wish to agree to, please call our customer services team on 0845 601 6208.

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- consent to the Trade and Order Execution Policy;
- are over 18 and that the information provided in this application is correct;
- agree to the Terms in relation to use of your personal information that you provide to the Company. You understand that the Introducer and the Company will obtain, process and disclose personal and financial information relating to you as detailed in clause 24 of the General Terms and these Declarations;
- wish to open a joint account with the person who signs the application from ("Individual Account Application Form") in relation to this application form ("Joint Account Supplementary Form") and any other person who also signs an application form ("Joint Account Supplementary Form") in relation to that main application;
- understand the main risks of trading in these instruments, as set out in the Risk Warning Notice detailed in Section 2 of the Terms of Service; and
- have regular access to the internet and consent to us providing you with information, including, without limitation, information about amendments to our Trade and Order Execution Policy, information about the nature and risks of investments, and information on our conflicts of interest policy by posting such information on tdwaterhousecfds.co.uk.

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The Company may use your personal information, for example, for responding to credit reference enquiries, searching the electoral register and making enquiries of credit reference and other agencies who may retain a record of the enquiry and information given to them and who may also use your information in the future to assist other organisations for crime prevention and credit purposes.

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The Company will add your details (including email details) to its database and will occasionally send you newsletters and other information about its products/services by various forms of communications, including electronically or by posting on our website at tdwaterhousecfds.co.uk, the Company may also pass your details to its associated companies who may also store and use your information in this way. By signing this form you consent to use of your information in this way. However, should we receive an instruction under the Individual Account Application Form not to use information in this way, then we will not contact any account holder for this purpose.

Data Protection – Introducer

The Introducer and other members of its group of companies will use your information to inform you by letter, telephone (including sending text messages), or computer (including email) about products and services (including those of others) which may be of interest to you. By signing this form you consent to the use of Your Information in this way unless you tell the Introducer that you do not wish your information to be used in this way; you can do so by contacting: Data Protection Controller, TD Waterhouse Investor Services (Europe) Ltd., Exchange Court, Duncombe Street, Leeds, LS1 4AX.

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6 – Signature

Joint Applicant Signature

Date

D	D	M	M	2	0	Y	Y
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